

55

Access DB# 47967

SEARCH REQUEST FORM

705/36

Scientific and Technical Information Center

Requester's Full Name: THACH BUI Examiner #: 76945 Date: 10/15/02
Art Unit: 3628 Phone Number 305-0063 Serial Number: 091497, 272
Mail Box and Bldg/Room Location: CRILS-7X12 Results Format Preferred (circle): PAPER DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: Data processing system and method for managing broker transaction information
Inventors (please provide full names): Lafore et al.

Earliest Priority Filing Date: 2/03/2000

For Sequence Searches Only Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

Please search claims 1-48

Wd

STAFF USE ONLY		Type of Search	Vendors and cost where applicable
Searcher: [Signature]	NA Sequence (#)	STN	
Searcher Phone #: 305-5774	AA Sequence (#)	Dialog	\$ 739.05
Searcher Location: EIC 3600	Structure (#)	Questel/Orbit	
Date Searcher Picked Up: 10-18-2002	Bibliographic	Dr.Link	
Date Completed: 10-18-2002	Litigation	Lexis/Nexis	
Searcher Prep & Review Time: 40	Fulltext	Sequence Systems	
Clerical Prep Time:	Patent Filing	WWW/Internet	
Online Time: 123	Other	Other (specify)	

?show files;ds

File 348:EUROPEAN PATENTS 1978-2002/Oct W01

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File 349:PCT FULLTEXT 1979-2002/UB=20021010,UT=20021003

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Set	Items	Description
S1	2408	(ENTER? OR INPUT? OR INSERT? OR TYPING OR RECORDING OR SCANN? OR FILING) (6N) (TRADE OR TRADES OR EXCHANGE OR EXCHANGES OR BUY(3N)SELL OR AUCTION) (6N) (DATA OR INFORMATION OR TRANSACTION?)
S2	288182	SERVER? OR DATABASE? OR DATA()BASE? OR DATA()STORAGE? OR ARCHIVE? OR WAREHOUSE? OR WARE()HOUSE OR DISK? ? OR DISC? ? OR MAINFRAME? OR MAIN()FRAME?
S3	16892	(DATA OR INFORMATION OR TRANSACTION) (2W) (FILE OR FILES)
S4	79642	(MONITOR? OR TRACK? OR TRACE? OR TRACING? OR WATCH? OR DETECT? OR MIRROR? OR COPY?) (6N) (EDIT? OR MODIF? OR CHANGES OR UPDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) () (DATA OR INFORMATION))
S5	46135	(REPORT? OR LOG? OR DOCUMENT? OR PAPER()TRAIL? OR SUMMARIS? OR SUMMARIZ? OR SUMMARY OR JOURNAL?) (6N) (EDIT? OR MODIF? OR CHANGES OR UPDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) - () (DATA OR INFORMATION))
S6	99	(RECORDING OR RECORD OR TRACK? OR MONITOR? OR TRACE? OR TRACING) (6N) (TRADE OR TRADES) (6N) (RECORDS OR ACTIVITY OR ACTIVITIES OR TRANSACTION? ?)
S7	9	S1(S)S2(S)S3(S)S4(S)S5
S8	14	S1(S)S4(S)S5
S9	3	S5(S)S6
S10	6	S6(S)AUDIT?
S11	2	S4(S)S6
S12	25	S7:S11

?t12/5,k/all

12/5,K/1 (Item 1 from file: 348)

DIALOG(R)File 348:EUROPEAN PATENTS

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01030324

MOBILE ELECTRONIC COMMERCE SYSTEM

MOBILES ELEKTRONISCHES HANDELSSYSTEM

SYSTEME DE COMMERCE ELECTRONIQUE MOBILE

PATENT ASSIGNEE:

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD, (216884), 1006, Oaza-Kadoma, Kadoma-shi, Osaka 571-0000, (JP), (Applicant designated States: all)

INVENTOR:

TAKAYAMA, Hisashi, 21-22, Matsubara 4-chome, Setagaya-ku, Tokyo 156-0043, (JP)

LEGAL REPRESENTATIVE:

Casalonga, Axel (14511), BUREAU D.A. CASALONGA - JOSSE Morassistrasse 8, 80469 Munchen, (DE)

PATENT (CC, No, Kind, Date): EP 950968 A1 991020 (Basic)

WO 9909502 990225

APPLICATION (CC, No, Date): EP 98937807 980813; WO 98JP3608 980813

PRIORITY (CC, No, Date): JP 97230564 970813

DESIGNATED STATES: DE; FR; GB

INTERNATIONAL PATENT CLASS: G06F-017/60

ABSTRACT EP 950968 A1

The objective of the present invention is to provide a mobile electronic commerce system that is superior in safety and usability. The mobile electronic commerce system comprises an electronic wallet 100, supply sides 101, 102, 103, 104 and 105, and a service providing means

110 that is connected by communication means. The service providing means installs a program for an electronic ticket, an electronic payment card, or an electronic telephone card. The electronic wallet employs the installed card to obtain a product or a service or entrance permission. The settlement process is performed by the electronic wallet and the supply side via the communication means, and data obtained during the settlement process are managed by being transmitted to the service providing means at a specific time. A negotiable card can be easily obtained, and when the negotiable card is used the settlement process can be quickly and precisely performed.

ABSTRACT WORD COUNT: 150

NOTE:

Figure number on first page: 1

LEGAL STATUS (Type, Pub Date, Kind, Text):

Application: 990519 A1 International application (Art. 158(1))
 Application: 991020 A1 Published application with search report
 Examination: 991020 A1 Date of request for examination: 19990825

LANGUAGE (Publication,Procedural,Application): English; English; Japanese

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	9942	17239
SPEC A	(English)	9942	160346
Total word count - document A			177585
Total word count - document B			0
Total word count - documents A + B			177585

...SPECIFICATION a required mount for a communication that is performed via wireless communication means using an **exchange** service provided by the supply side; and

wherein the electronic wallet and the supply side...antenna 301, transmits an analog reception signal 1550 to the demodulator 1515; a battery capacity **detector** 1518, which **detects** the capacity of the battery of the mobile user terminal 100; and a control logic...or when one of the interrupt signals 1558, 1554 and 1557 is asserted, the control logic unit 1508 writes the reason for the interrupt in the interrupt register (INT) 1604, and...of information: a last called number 1813, an address book address 1814, and a shortcut file address 1815. The last called number 1813 represents a telephone number employed for a prior...

12/5,K/2 (Item 2 from file: 348)

DIALOG(R)File 348:EUROPEAN PATENTS

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00482422

Portable trade recording and submission system.

Tragbares Handelssystem zum Registrieren und Vorlegen.

Systeme portable pour l'enregistrement et la soumission du negoce.

PATENT ASSIGNEE:

COMMODITY EXCHANGE, INC., (1354460), Four World Trade Center, New York, New York 10048, (US), (applicant designated states: CH;DE;FR;GB;LI)

INVENTOR:

Markowitz, Arthur D., 50 Dorotockey Drive, Harrington Park, New Jersey 07640, (US)

Sabo, John J., 16 Montain Road, Wilton, Connecticut 06897, (US)

LEGAL REPRESENTATIVE:

Read, Matthew Charles et al (47911), Venner Shipley & Co. 368 City Road, London EC1V 2QA, (GB)

PATENT (CC, No, Kind, Date): EP 453150 A2 911023 (Basic)

EP 453150 A3 931013

APPLICATION (CC, No, Date): EP 91303078 910408;

Search Report from Ginger D. Roberts

PRIORITY (CC, No, Date): US 511053 900419

DESIGNATED STATES: CH; DE; FR; GB; LI

INTERNATIONAL PATENT CLASS: G06F-015/24;

CITED PATENTS (EP A): US 4903201 A; US 5038284 A

CITED REFERENCES (EP A):

WALL STREET COMPUTER REVIEW vol. 5, no. 6, March 1988, US pages 60 - 64

W.T. TAYLOR 'CBOE'S AUTOMATION PLAN: KEEP THE FLOOR TUNED IN'

BANKING TECHNOLOGY vol. 6, no. 5, May 1989, UK page 45 M. RITSON 'The CME comes out Tops'

WALL STREET COMPUTER REVIEW vol. 8, no. 6, March 1991, US pages 51 - 56

V. KULKOSKY 'Shrinking Technology Puts Power In Users' Hands';

ABSTRACT EP 453150 A2

A trade recordation and submission system comprising a portable computer terminal (10, 12) with communication means, e.g. a RAM card (15) or radio transmitter (21). The software program in the system provides display screens for sign-on, set date/time, account setup, futures/options trade detail, review of positions and evaluate positions. Transaction data pertaining to trades may be entered in the system via the display screens and stored. Thereafter, the transaction data may be provided to a clearing member for submission to the commodities exchange via the clearing member's normal means of trade submission. (see image in original document)

ABSTRACT WORD COUNT: 99

LEGAL STATUS (Type, Pub Date, Kind, Text):

Application: 911023 A2 Published application (A1with Search Report ;A2without Search Report)

Search Report: 931013 A3 Separate publication of the European or International search report

Examination: 940601 A2 Date of filing of request for examination: 940406

Withdrawal: 970502 A2 Date on which the European patent application was deemed to be withdrawn: 961101

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	EPABF1	1246
SPEC A	(English)	EPABF1	6746
Total word count - document A			7992
Total word count - document B			0
Total word count - documents A + B			7992

...SPECIFICATION record subsequent to the "ENT" key being pressed (which causes the safe-storing of that **record**), the system will alter the "**transaction** type" in position 1 of the original **record** stored in internal memory (main **trade** file, as discussed more fully below), and the original record on the removable RAM card...

...75 with the corrected trade ID. The new corrected record will also retain the original **audit** trail time.

All subsequent accesses of the main

12/5,K/3 (Item 1 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00918726 **Image available**

WIRELESS ROUTER SYSTEM AND METHOD

SYSTEME DE ROUTEUR SANS FIL ET PROCEDE

Patent Applicant/Assignee:

RESEARCH IN MOTION LIMITED, 295 Phillip Street, Waterloo, Ontario N2L 3W8
, CA, CA (Residence), CA (Nationality), (For all designated states

except: US)

Patent Applicant/Inventor:

LEWIS Allan D, 31 Casselhome Cres., New Dundee, Ontario N0B 2E0, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
MOUSSEAU Gary P, 210 The Lion's Gate, Waterloo, Ontario N2L 6M5, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
GILHULY Barry J, 349 Meadowvale Place, Waterloo, Ontario N2K 3P8, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
PATTERSON Ian M, 25 Alice Crescent, Petersburg, Ontario N0B 2H0, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
BANH Vi Thuan, 455 Cabot Trail, Waterloo, Ontario N2K 4C8, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
ROGOBETE Adrian, 443 Cabot Trail, Waterloo, Ontario N2K 4C8, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
BURNS Anthony G, 3101 Parkgate Crescent, Burlington, Ontario L7M 1R1, CA, CA
(Residence), CA (Nationality), (Designated only for: US)
LAZARIDIS Mihal, 263 Carrington Place, Waterloo, Ontario N2T 2K1, CA, CA
(Residence), CA (Nationality), (Designated only for: US)

Legal Representative:

PATHIYAL Krishna K (agent), Research In Motion Limited, 295 Phillip
Street, Waterloo, Ontario N2L 3W8, CA,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200252798 A2 20020704 (WO 0252798)
Application: WO 2001CA1814 20011221 (PCT/WO CA0101814)
Priority Application: US 2000257425 20001222

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD
SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: H04L-012/56

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 21125

English Abstract

A wireless router employing a technique to couple a plurality a host services or host systems and a plurality of wireless networks. A method to route data items between a plurality of mobile devices and a plurality of host systems through a common wireless router. A point-to-point communication connection is preferably established between a first host system and a common wireless router, a mobile network message at a mobile device is generated, the mobile network message is transmitted via a wireless network to the common wireless router which in turn routes a data item component of the mobile network message to the appropriate host service.

French Abstract

L'invention concerne un routeur sans fil fonctionnant selon une technique qui permet la connexion d'une pluralite de services hotes ou de systemes hotes et d'une pluralite de reseaux sans fil. L'invention concerne egalement un procede permettant d'acheminer des elements de donnees entre une pluralite de dispositifs mobiles et une pluralite de systemes hotes par l'intermediaire d'un routeur sans fil commun. Ce procede consiste a etablir une connexion de communication point a point de preference entre un premier systeme hote et un routeur sans fil commun, a generer un message de reseau mobile dans un dispositif mobile, et a transmettre ce

Search Report from Ginger D. Roberts

message de reseau mobile au routeur sans fil commun via le reseau sans fil, le routeur commun acheminant a son tour un composant d'element de donnees du message du reseau mobile jusqu'au service hote approprié.

Legal Status (Type, Date, Text)

Publication 20020704 A2 Without international search report and to be republished upon receipt of that report.

Fulltext Availability:

Detailed Description

Detailed Description

... notifications, address entries, journal entries, personal alerts, alarms, warnings, stock quotes, news bulletins, bank account transactions, field service updates, stock trades, heart-monitoring information, vending machine stock levels, meter reading data, GPS data, etc., but could, alternatively, include...

12/5,K/4 (Item 2 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00899532 **Image available**

METHODS AND APPARATUS FOR FORMULATION, INITIAL PUBLIC OR PRIVATE OFFERING, AND SECONDARY MARKET TRADING OF RISK MANAGEMENT CONTRACTS
PROCEDES ET SYSTEME POUR LA FORMULATION DE PREMIERES OFFRES PUBLIQUES OU PRIVEES ET LA NEGOCIATION DE MARCHE SECONDAIRE POUR DES CONTRATS DE GESTION DE RISQUES

Patent Applicant/Assignee:

PARETO PARTNERS LTD, 7 Thistle, Portola Valley, CA 94028, US, US

(Residence), US (Nationality)

Inventor(s):

NAFEH John, 7 Thistle Road, Portola Valley, CA 94028, US,

YEE Kenton K, 180 Riverside Boulevard, Apt. 33F at Trump Place, New York, NY 10069, US,

Legal Representative:

NIXON Dale B (et al) (agent), Suite 3400, 717 North Harwood, Dallas, TX 75201, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200233627 A2 20020425 (WO 0233627)

Application: WO 2001US32275 20011015 (PCT/WO US0132275)

Priority Application: US 2000240903 20001017; US 2001284051 20010416; US 2001923035 20010806

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD

SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 33670

English Abstract

French Abstract

Legal Status (Type, Date, Text)

Publication 20020425 A2 Without international search report and to be republished upon receipt of that report.

Declaration 20020711 Late publication under Article 17.2a

Republication 20020711 A2 With declaration under Article 17(2)(a); without abstract; title not checked by the International Searching Authority.

Fulltext Availability:

Claims

Claim

... if Egghead-Onsale has more than \$ 1 0 million dollars cash as reported on its **audited** financial statements for year-end 2002, and \$0 otherwise. Contract II which pays \$1 0...

...Egghead-Onsale has between \$0 and \$1 0 million dollars cash as reported on its **audited** financial ...which pays \$10 if Egghead-Onsale has less than \$0 cash as reported on its **audited** financial statements for year-end 2002, and \$0 otherwise.

In the simplest design, a Market...for the exchange, the Clearing Application 692 enables the Market Authority 500 to monitor all **transactions** and **record** all **transaction** data necessary for the clearing and settlement of every **trade** on the exchange. Clearing Application 692 gives the Market Authority 500 access to member specific ...as appropriate. Every action taken on an order will be recorded to provide a clear **audit** trail of system activity and to ensure accurate clearing of all transactions. At different points...

12/5,K/5 (Item 3 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00871075 **Image available**

VIRTUAL INTERACTIVE GLOBAL EXCHANGE

EXCHANGE GLOBAL INTERACTIF VIRTUEL

Inventor(s):

SARMA Sanjay, 67 Hurd Road, Belmont, MA 02478, US,

Patent Applicant/Inventor:

MELKOMIAN Raymond, 68-23 Douglaston Parkway, Douglaston, NY 11362, US, US
(Residence), US (Nationality)

Legal Representative:

SAPONE William J (agent), Coleman Sudol Sapone P.C., 714 Colorado Avenue,
Bridgeport, CT 06605, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200205182 A1 20020117 (WO 0205182)

Application: WO 2001US21377 20010706 (PCT/WO US0121377)

Priority Application: US 2000216195 20000706

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG

SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:
Detailed Description
Claims
Fulltext Word Count: 11633

English Abstract

A computer based virtual reality trading system for trading financial products such as commodities and stocks uses a server based system (15, 16) for generating a virtual trading floor (21) to simulate an exchange. Traders (17, 28, 19, and 20) use local PCs to direct their individual avatars interactively on the virtual trading floor, using hand and voice signals similar to a non-virtual exchange. This allows an exchange to be de-coupled from its physical geographic location as traders in diverse locations can interact on the virtual trading floor. The system enables accurate **tracking** for **audit** of the **trade activity** and simplified **trade** clearing, while retaining the dynamics of a non-virtual exchange.

French Abstract

L'invention concerne un systeme informatique de commerce en realite virtuelle concu pour commercialiser des produits financiers, par exemple des biens et des actions, qui utilise un systeme base serveur (15, 16) pour generer un parquet virtuel (21) destine a simuler un echange. Les negociants (17, 28, 19 et 20) utilisent des ordinateurs personnels locaux pour diriger leurs avatars individuels de maniere interactive sur le parquet de commerce virtuel en utilisant des signaux manuels et vocaux rappelant les echanges non virtuels. Un echange peut ainsi etre dissocie de son emplacement geographique physique, etant donne que les negociants en divers emplacements ont la possibilite d'interagir sur le parquet de commerce virtuel. Le systeme permet une localisation exacte pour audit de l'activite commerciale et compensation commerciale simplifiee, tout en conservant la dynamique d'un echange non virtuel.

Legal Status (Type, Date, Text)

Publication 20020117 A1 With international search report.

English Abstract

...traders in diverse locations can interact on the virtual trading floor. The system enables accurate **tracking** for **audit** of the **trade activity** and simplified **trade** clearing, while retaining the dynamics of a non-virtual exchange.

12/5,K/6 (Item 4 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00828046 **Image available**

BROWSER INTERFACE AND NETWORK BASED FINANCIAL SERVICE SYSTEM

INTERFACE D'EXPLORATION ET SYSTEME DE SERVICES FINANCIERS SUR RESEAU

Patent Applicant/Assignee:

PAINWEBBER INCORPORATED, 1200 Harbor Boulevard, Weehawken, NJ 07087, US,
US (Residence), US (Nationality), (For all designated states except:
US)

Patent Applicant/Inventor:

FITZPATRICK William, 77 Concord Circle, Howell, NJ 07731, US, US
(Residence), US (Nationality), (Designated only for: US)

FURLONG John, 77 Westgate Boulevard, Plandome, NY 11030, US, US
(Residence), US (Nationality), (Designated only for: US)

MAUER Robert, 2 Crabtree Lane, Levittown, NY 11756, US, US (Residence),
US (Nationality), (Designated only for: US)

HUMMELBERG David, 20 Pheasant Run Drive, Basking Ridge, NJ 07920, US, US
(Residence), US (Nationality), (Designated only for: US)

MARTONE Brian, 900 Willow Avenue, Apartment 7, Hoboken, NJ 07030, US, US
(Residence), US (Nationality), (Designated only for: US)

Search Report from Ginger D. Roberts

Legal Representative:

WARNICK Spencer (et al) (agent), Hoffman, Warnick & D'Alessandro LLC, 3
E-Comm Square, Albany, NY 12207, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200161589 A2 20010823 (WO 0161589)

Application: WO 2001US1881 20010117 (PCT/WO US0101881)

Priority Application: US 2000182364 20000214; US 2000712358 20001114

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG

SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 10357

English Abstract

French Abstract

L'invention concerne une interface d'exploration destinee a fournir des services financiers. L'interface comprend une barre d'outils; un menu de presentation d'un menu d'application et d'un menu de fonctions de donnees de marche; et au moins une fenetre de visualisation servant a afficher des informations relatives a une application et a une fonction de donnees de marche. L'invention concerne egalement un systeme de services financiers sur reseau comprenant un ordinateur client avec acces a Internet; une interface d'exploration pouvant etre exploitee sur l'ordinateur client, l'interface d'exploration possedant une barre d'outils, un menu de presentation d'un menu d'application et d'un menu de fonctions de donnees de marche, et au moins une fenetre de visualisation servant a afficher des informations relatives a une application financiere et a une fonction de donnees de marche; et un serveur ayant acces a plusieurs applications et a plusieurs fonctions de donnees de marche, les applications et les fonctions etant accessibles via l'interface d'exploration de l'accès a Internet. L'interface et le systeme fournissent des conseils financiers proactifs a jour. Les utilisateurs ont l'opportunité de fixer et d'atteindre des objectifs d'investissement a partir de donnees en temps reel, et la capacité d'avoir acces a des applications financieres.

Legal Status (Type, Date, Text)

Publication 20010823 A2 Without international search report and to be republished upon receipt of that report.

Declaration 20011220 Late publication under Article 17.2a

Republication 20011220 A2 With declaration under Article 17(2)(a); without abstract; title not checked by the International Searching Authority.

Fulltext Availability:

Detailed Description

Detailed Description

... transaction forum, such as those described above, blocks an investor from entering an investor-mediated transaction, or alternatively allows an investor to successfully complete a particular transaction.

Management: trade monitor operations problem ticket tracking and reporting system, and client account cross reference lookup/routing used to maintain audit of account number changes.
Opportunities and Events: new and old corporate actions a financial adviser...

12/5,K/7 (Item 5 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00814145

A METHOD FOR EXECUTING A NETWORK-BASED CREDIT APPLICATION PROCESS

PROCEDE DE MISE EN OEUVRE D'UN PROCESSUS DE DEMANDE DE CREDIT EN RESEAU

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

CORNELIUS Richard D, 421 14th Street, Santa Monica, CA 90402, US,
STEPNICZKA Andreas, 2200 Sacramento Street, Apt. 503, San Francisco, CA
94115, US,

CHU Kevin, 490 Lindbergh Place, Apt. 515, Atlanta, GA 30324, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, P.O. Box
52037, Palo Alto, CA 94303, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200146889 A2 20010628 (WO 0146889)

Application: WO 2000US35216 20001222 (PCT/WO US0035216)

Priority Application: US 99470805 19991222; US 99469525 19991222; US
99470039 19991222

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK DM DZ

EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU

LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

International Patent Class: G07F-019/00

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 98671

English Abstract

French Abstract

L'invention concerne un systeme, un procede et un article manufacture s'utilisant pour un processus de demande de credit. Dans un premier temps, une demande de credit emanant d'un acheteur utilisant un reseau est recue. En reponse a cette demande de credit, la demande de credit est envoyee a une banque par l'intermediaire du reseau, ce, afin d'evaluer le credit dont dispose l'acheteur, sur la base de la demande de credit. Si le credit est approuve, l'acheteur est accredite par attribution d'un identificateur. Un mot de passe est ensuite produit pour l'acheteur. L'identificateur et le mot de passe sont memorises dans la base de donnees. Le mot de passe est ensuite envoye a l'acheteur a l'aide du reseau. En application, l'acheteur doit utiliser le mot de passe pour

Search Report from Ginger D. Roberts

lancer des transactions sur le reseau. De plus, l'acheteur se voit attribuer une carte portant l'identificateur..

Legal Status (Type, Date, Text)

Publication 20010628 A2 Without international search report and to be republished upon receipt of that report.
Examination 20011018 Request for preliminary examination prior to end of 19th month from priority date
Declaration 20011122 Late publication under Article 17.2a
Republication 20011122 A2 With declaration under Article 17(2) (a); without abstract; title not checked by the International Searching Authority.

Fulltext Availability:
Detailed Description

Detailed Description

... 1 about the activity of programs and systems, enabling automatic analysis and diagramming, assisted code tracing, editing capabilities, and automatic documentation. The debugger allows the developer to enter program break points and step through a program...

12/5,K/8 (Item 6 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00806384

NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND METHOD THEREOF

GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE

Patent Applicant/Assignee:

ANDERSEN CONSULTING LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

MIKURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box 52037, Palo Alto, CA 94303, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139030 A2 20010531 (WO 0139030)

Application: WO 2000US32324 20001122 (PCT/WO US0032324)

Priority Application: US 99444775 19991122; US 99447621 19991122

Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK

DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR

TT UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 171499

English Abstract

A system, method and article of manufacture are provided for asset management in a network-based supply chain. Utilizing a network,

information is received information from at least one service provider. This information includes information relating to present network assets of the service provider. Information is also received utilizing the network from at least one manufacturer. The information from the manufacturers includes information relating to present network assets of the manufacturers. A determination is made for optimal network assets needed for the service provider and manufacturer based on the present network assets of service provider and the manufacturer. Based on this determination, the optimizing of the network assets is managed.

French Abstract

L'invention concerne un systeme, un procede et un article de fabrication destines a la gestion d'actifs dans une chaine d'approvisionnement en reseau. Ce dernier permet de recevoir des informations provenant d'au moins un prestataire de services. Ces informations renferment des elements d'information se rapportant aux actifs actuels en reseau dudit prestataire. Elles sont egalement recues par le biais du reseau en provenance d'au moins un fabricant. Les informations des fabricants comportent des elements d'information se rapportant aux actifs actuels en reseau des fabricants. On determine les actifs en reseau optimaux necessaires au prestataire de services et au fabricant sur la base des actifs actuels en reseau desdits prestataire de services et fabricant. Cette determination permet de gerer l'optimisation des actifs en reseau.

Legal Status (Type, Date, Text)

Publication 20010531 A2 Without international search report and to be republished upon receipt of that report.

Examination 20010913 Request for preliminary examination prior to end of 19th month from priority date

Fulltext Availability:
Detailed Description

Detailed Description

... be identified and

31

reconfigured for drop-and-insert. As a result, the drop-and- insert process can be done simpler with less expense of hardware then the back-to-back...the network asset. In one aspect of this embodiment of the present invention, an asset **tracking** tool may be utilized for **tracking** the network assets.

In yet a further embodiment of the present invention, the roll-out... these parallel journeys. These optimization efforts will include I O organizational, process and technology driven **changes** to create efficiency based on consolidation of processes, as well as measurement tools to determine...in Figure 17. The Customer Quality of Service Management Process 1302 encompasses monitoring, managing and **reporting** of quality of service as defined in Service Descriptions, Service Level Agreements (SLA), and other...

...including; dashboards, performance of a service against an SLA, reports of any developing capacity problems, **reports** of customer usage patterns, etc, In **addition** , this process responds to performance inquiries from the customer. For SLA violations, the process supports...transports the call from the current switch. There are two entry points for this control **logic** : steps 4402 and 4412. Upon entering step 4402 ffrom step 4036 on Figure 40, the...

12/5,K/9 (Item 7 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00806383

COLLABORATIVE CAPACITY PLANNING AND REVERSE INVENTORY MANAGEMENT DURING
DEMAND AND SUPPLY PLANNING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT
AND METHOD THEREOF

PLANIFICATION EN COLLABORATION DES CAPACITES ET GESTION ANTICIPEE DES
STOCKS LORS DE LA PLANIFICATION DE L'OFFRE ET DE LA DEMANDE DANS UN
ENVIRONNEMENT DE CHAINE D'APPROVISIONNEMENT FONDEE SUR LE RESEAU ET
PROCEDE ASSOCIE

Patent Applicant/Assignee:

ANDERSEN CONSULTING LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

MIKURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box 52037,
Palo Alto, CA 94303, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139029 A2 20010531 (WO 0139029)

Application: WO 2000US32309 20001122 (PCT/WO US0032309)

Priority Application: US 99444655 19991122; US 99444886 19991122

Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE

DK DM DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL

TJ TM TR TT TZ UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 157840

English Abstract

A system, method and article of manufacture are provided for
collaborative capacity planning during demand and supply planning in a
network-based supply chain. Data access is provided from multiple
simultaneous data sources utilizing a network for demand and supply
planning in a network-based supply chain having at least one service
provider and at least one manufacturer. Capacity data is stored utilizing
the network.

French Abstract

On decrit un systeme, un procede et un article manufacture qui permettent
d'effectuer la planification en collaboration des capacites lors de la
planification de l'offre et de la demande dans une chaine
d'approvisionnement fondee sur le reseau. L'accès aux donnees provient
d'une pluralite de sources de donnees simultanees auxquelles on accede
par un reseau en vue d'effectuer la planification de l'offre et de la
demande dans une chaine d'approvisionnement fondee sur le reseau
comprenant au moins un fournisseur de service et au moins un fabricant.
Des donnees de capacite sont stockees au moyen du reseau.

Legal Status (Type, Date, Text)

Publication 20010531 A2 Without international search report and to be
republished upon receipt of that report.

Examination 20011206 Request for preliminary examination prior to end of
19th month from priority date

Fulltext Availability:
Detailed Description
Detailed Description
... processing.

A system and method for the switches of a telecommunications network to generate call records for telephone calls using a flexible and expandable record format. Upon receipt of a telephone call, a switch in the network analyzes the telephone...

12/5,K/10 (Item 8 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00803948 **Image available**

METHOD OF AND SYSTEM FOR ENABLING BRAND-IMAGE COMMUNICATION BETWEEN VENDORS AND CONSUMERS

PROCEDE ET SYSTEME PERMETTANT DE COMMUNIQUER UNE IMAGE DE MARQUE ENTRE DES VENDEURS ET DES CONSOMMATEURS

Patent Applicant/Assignee:

IPF INC, Soundview Plaza, 1266 East Main Street, Stamford, CT 06902, US,
US (Residence), US (Nationality), (For all designated states except:
US)

Patent Applicant/Inventor:

PERKOWSKI Thomas J, 10 Waldon Road, Darien, CT 06820, US, US (Residence),
US (Nationality), (Designated only for: US)

Legal Representative:

PERKOWSKI Thomas J (agent), Thomas J. Perkowski, P.C., Soundview Plaza,
1266 East Main Street, Stamford, CT 06902, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200137540 A2-A3 20010525 (WO 0137540)

Application: WO 2000US31757 20001117 (PCT/WO US0031757)

Priority Application: US 99441973 19991117; US 99447121 19991122; US
99465859 19991217; US 2000483105 20000114; US 2000599690 20000622; US
2000641908 20000818; US 2000695744 20001024

Parent Application/Grant:

Related by Continuation to: US 99441973 19991117 (CIP); US 99447121
19991122 (CIP); US 99465859 19991217 (CIP); US 2000483105 20000114
(CIP); US 2000599690 20000622 (CIP); US 2000641908 20000818 (CIP); US
2000695744 20001024 (CIP)

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG
SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

International Patent Class: G06F-015/16; G09G-005/00

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 116871

English Abstract

An integrated consumer product marketing and information system which enables manufacturers, retailers, and consumers to carry out product-related functions: an internet product information subsystem (2) delivers information to interested consumers, using universal product code information in particular (3); product advertising is delivered to

consumers (2A) within physical and electronic shopping environments; a sales analysis and forecasting subsystem (5) enables retailer purchasing agents to make obtain information about manufacturers' products in order to make informed purchases along the supply chain.

French Abstract

L'invention concerne un systeme integre de maniere fonctionnelle et un procede de commercialisation, de distribution et d'education/information de produits de consommation, qui permettent a des fabricants, a des revendeurs, a leurs agents respectifs et aux consommateurs d'accomplir quatre fonctions fondamentales associees au produit du cote de la demande du circuit de detail, a savoir : permettre aux responsables du commercialisation, de la marque et/ou du produit de creer et de gerer une image de marque composee pour chaque bien de consommation a la vente aussi bien sur le marche physique qu'electronique, a permettre aux fabricants, aux revendeurs et a leurs agents publicitaires et de commercialisation de montrer a des consommateurs des publicites relatives aux biens de consommation, dans un point de vente ou a proximite de ce dernier dans les environnements de commerce au detail aussi bien physique qu'electronique, de facon a garantir que l'image de marque voulue du fabricant soit diffusee et, parallelement, que la demande du produit soit influencee positivement. Le systeme et le procede permettent en outre aux revendeurs, aux fabricants et a leurs agents publicitaires et de commercialisation de promouvoir les produits de consommation aupres des consommateurs dans des environnements de commerce au detail aussi bien physique qu'electronique afin d'influencer positivement (c'est-a-dire de reduire) l'offre de ces produits dans les stocks et de promouvoir les ventes et les profits. Le systeme et le procede permettent aussi aux consommateurs de demander et d'obtenir des informations fiables concernant un produit d'un fabricant afin d'effectuer des achats en toute connaissance de cause du cote de la demande du circuit du detail, tout en permettant a des acheteurs au detail de demander et d'obtenir des informations fiables concernant un produit d'un fabricant afin d'effectuer des achats en toute connaissance de cause du cote de l'offre, influencant ainsi la demande du produit de maniere positive.

Legal Status (Type, Date, Text)

Publication 20010525 A2 Without international search report and to be republished upon receipt of that report.
Search Rpt 20020926 Late publication of international search report
Republication 20020926 A3 With international search report.
Republication 20020926 A3 Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

Fulltext Availability:

Claims

Claim

... field 115 for entering the e-mail address of the consumer/shopper, to which a **copy** of the e-mail envelope 116 can be automatically sent during envelope transport;

(iv) a...will have great value in publishing applications where both Web and print-media versions of **documents**, articles and the like are

Page 219

simultaneously published on the W'VW and in...

...world news, local news, arts and entertainment, technology, etc.). Thus, in such instances, a client **copy** of the publishing program of the present invention discussed above will run on different client...

...the LAN or WAN of the publisher, as shown in Fig. 2D, while a server **copy** runs on at least one client computing subsystem on the LAN or WAN. Such a...and electronically transported to subsystem 9 hereof.

Preferably, the OS-based UPN/TM/PD/URL **data** linking utility of the present invention is launchable by selecting a command in a pull...

...33 and 35, and the addition of the following system components, namely: a plurality of **mirrored** Physical And Virtual Multi-Mode Kiosk Server Subsystems (i.e. PVM kiosk server subsystems) I IA; a plurality of **mirrored** Web-Based (HTTP) Manufacturer Registration and UPN/TM/PDIURL Link Creation, Management And Transport (LCMT) Servers 505 operably connected to the infrastructure of the Internet; a plurality of **mirrored** Web-Based (HTTP) CPI Kiosk Ordering/Configuration/Deployment/Management Servers 506 operably connected to the infrastructure of the Internet; a plurality of **mirrored** Web-Based (HTTP) CPI Kiosk Advertisement Marketing/Sales/Management Servers 507; a plurality of **mirrored** Web-Based (HTTP) CPI Kiosk Promotion Marketing/Sales/Management Servers 508 operably connected to the...the retailer's (or manufacturer s) product promotional agents as the case may be; (10) **monitoring** the performance of registered retailer (or manufacturer) advertising agents as the case may be; and (I 1) **monitoring** the performance of registered retailer (or manufacturer) promotional agents as the case may be. In...
...more physical and/or virtual CPI kiosks deployed by retailers in retail space; and (6) **monitor** the performance of kiosk-based advertising campaigns during execution, as required by client demands and...

...content data within the system shown in Figs. I OA I and I OA2, appropriately **modified** so that instead of only UPN/TM/PD/URL links being transported from each registered manufacturer s enterprise (e.g. local UPN/TM/PD/URL RDBMS), UPN-indexed (media-rich) **information resource files** (i.e.

Page 228

IRFs) are also transported from the manufacturer s enterprise (e.g... illustrative embodiment, the primary functions of the web-based CPI kiosk ordering/configuration/deployment/management **server** are for: (1) supporting retailer (and eretailer) and manufacturer registration operations; (2) updating and displaying...

...TM/PD/URL RDBMS 9 ; a plurality of mirrored Physical and Virtual Multi-Mode Kiosk **Server** Subsystems II A operably connected to the infrastructure of the Internet and the UPN/TM1PD1URL RDBMS 9 ; a plurality of barcode

Page 232

Web-based kiosk **server** 519A.

Page 233

As shown in Fig. 13, the CPIR-enabling Applet/Servlet Generator/ **Server** 521 is operably connected to the UPN/TM/PD/URL RDBMS 9 for automatically generating...

...the CPIR-enabling Applet is loaded onto one of the plurality of CPIR-enabling Applet **servers** 520 shown in Fig. 13, and (ii) the corresponding CPIR-enabling Applet tag is loaded within the CPIR-Enabling Applet Tag (i.e. Product-Specific CPI Virtual Kiosk) Library Web **Server** 522 for viewing and downloading by retailers, advertisers, auctioneers, etc, as described in detail hereinabove. Each CPIRenabling (e.g. JAVA) Applet **server** 520 has a statically assigned IP address, and an assigned domain name, and is assigned...

...in the retailer's store, or otherwise on the WWW. Notably, each Web-based kiosk **server** 519A and each CPIR-enabling Applet **server** 520 in the system will be provided with either a MIN-based data filtering mechanism...

...TMIPDFLJRL links are supplied to retail-based CPI kiosks (513, 514) driven by such kiosk **servers** , and that such retailer-authorization is achieved by restricting the display of product advertisements andeach

Web-based (http) portal information **server** 519B has a statically assigned IP address, and an assigned domain name (e.g. [http://www.brandkeyi\[questcentral.com/northamerica/enelish](http://www.brandkeyi[questcentral.com/northamerica/enelish)). The primary function of the Web information **server** 519B is to serve up to the public, in different languages, barcodedrivable CPI portal WWW...

...as discussed hereinabove. As shown 'in Fig. 14, a GPS-time synchronized WAP-enabled information **server** 525 can be optionally used to deliver CPI links from the LJP/N/TM/PD/URL...

...the retail chain, it would not be desired by retailers for Web-based CPI portal **servers** 519B to serve UPN/TMfPD/URL links to WAP-enabled mobile computing devices of consumers...

...consumer wants the lowest price, and would be willing to buy a product in a **warehouse** off a shipping pallet, but the retailer aims to provide a more rich consumer experience...

...situation in physical retail shopping environments, it will be preferred that Web-enabled CRI portal **servers** 519B do not support the Wireless Applications Protocol (WAP).

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Internet-Based Consumer Product...

...Programming, Management and Delivery Subsystem 502 comprises: a web-based product advertisement marketing/sales (http) **server** 507 operably connected to the infrastructure of the Internet; the UPN/TM/PD/URL RDBMS...

...illustrative embodiment, the primary function of the web-based product advertisement marketing/sales/management (http) **server** 507 is to enable the following advertiser-oriented information services: (1) registering advertisers (e.g...

...Management and Delivery Subsystem 503 comprises: a web-based product promotion marketing/sales/management (http) **server** 508 operably connected to the infrastructure of the Internet; the UPN/TM/PD/URL RDBMS ...

...embodiment, the primary functions of the web-based product Kiosk Promotion Marketing/Sales/Management (http) **server** 508 is for enabling the following promoter-oriented information services: (1) registering promoters and the...information fields, namely: Physical Kiosk ID No.; Retail P-Store ID No.; Physical Kiosk HTTP **Server** URL; Assigned Static IP Address; P-Kiosk Aisle/Shelf Location; Physical Kiosk Access Password; CPI...

12/5,K/11 (Item 9 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00799890 **Image available**

SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN CAPITAL MARKETS

SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA L'INTERNET SUR LE MARCHE FINANCIER

Patent Applicant/Assignee:

INTEGRAL DEVELOPMENT CORPORATION, 156 University Avenue, Palo Alto, CA 94301, US, US (Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

SANDHU Harpal, 669 Waverly Place, Palo Alto, CA 94301, US, US (Residence)

, US (Nationality), (Designated only for: US)
TOLAT Viral V, 2148 Harkins Avenue, Menlo Park, CA 94025, US, US
(Residence), US (Nationality), (Designated only for: US)
Legal Representative:
CHOU Chien-Wei (Chris) (et al) (agent), Oppenheimer Wolff & Donnelly LLP,
1400 Page Mill Road, Palo Alto, CA 94304, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200133462 A1 20010510 (WO 0133462)
Application: WO 2000US30076 20001031 (PCT/WO US0030076)
Priority Application: US 99162873 19991101
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ
DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG
SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F-017/60
Publication Language: English
Filing Language: English
Fulltext Availability:
Detailed Description
Claims
Fulltext Word Count: 52016

English Abstract

The present invention provides a system and method that enables users, such as institutional investors and financial institutions to interactively engage in capital market transactions, including the trading (160) of Over-the-Counter financial products, via the Internet (10). The system includes a variety of servers, applications, and interfaces that enable users to interactively communicate and trade financial instruments among one another, and to manage their portfolios. Interactive communications supported by the system include: requesting, reviewing, and issuing price quotes, negotiating between users, accepting price quotes, reporting (180), portfolio management (170), analysis of financial information and market data (190), calendaring (200), and communicating between users and administrators using e-mail (140), chat (120), and message (90) boards.

French Abstract

La presente invention concerne un systeme et un procede permettant a des utilisateurs, tels qu'investisseurs institutionnels et institutions financieres, de participer activement a des transactions sur le marche financier, et plus particulierement a l'echange de produits financiers hors cote, par l'intermediaire d'Internet (notamment sur le Web). Ce systeme comprend une pluralite de serveurs, d'applications et d'interfaces permettant a ces utilisateurs de communiquer et de s'echanger des instruments financiers de maniere interactive et de gerer leurs portefeuilles. Les communications interactives prises en charge par ce systeme comprennent la demande de cotations de cours, le suivi et l'etude de demandes de cours, l'emission de cotations de cours, la negociation entre utilisateurs, l'acceptation de cotations de cours, l'etablissement de rapports, la gestion de portefeuille, l'analyse d'informations financieres et de donnees de marche, la gestion d'agenda et les communications entre utilisateurs et/ou administrateurs de systeme, notamment au moyen du courrier electronique, du bavardage-clavier et du systeme BBS. La presente invention prend egalement en charge des communications avec le cote serveur de maniere automatisee par l'intermediaire d'un processeur automatise. Ces communications automatisees permettent une connectivite avec des systemes dorsaux internes de l'utilisateur, d'ou l'execution d'un traitement

continu automatise tel que la tarification d'une transaction, la planification et la journalisation des paiements, l'echange de produits derives, la confirmation d'un echange et le reglement d'un echange. Ces communications sont facilitees a l'aide d'une nouvelle syntaxe a base XML (FinXML) et d'un langage de traitement a base XSL (FinScript). Cette syntaxe FinXML permet d'obtenir un langage d'echange de donnees standard destine aux transactions sur le marche financier, et prend en charge un ensemble important d'elements et d'attributs representant un large eventail de transactions financieres, de donnees de reference et de donnees de marche. La description classique de la syntaxe FinXML est applicable a tous les aspects du traitement continu, y compris la realisation d'operations, la confirmation, le reglement, le paiement, la gestion de risques et la comptabilite.

Legal Status (Type, Date, Text)

Publication 20010510 A1 With international search report.

Publication 20010510 A1 Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

Examination 20011011 Request for preliminary examination prior to end of 19th month from priority date

Claim Mod 20011115 Later publication of amended claims under Article 19 received: 20010620

Republication 20011115 A1 With international search report.

Republication 20011115 A1 With amended claims.

Fulltext Availability:

Claims

Claim

... Request Monitor: Change Display" interface, illustrated by FIG. 94, which can be accessed by clicking " Edit " button 8080 on the "Request Monitor : Current" interface (shown in FIG. 84), enables a Provider to customize the request monitor interfaces...

...so that the Provider can manually refresh the monitor display or (ii) automatically refresh the **monitor** display when there are **changes** to a request (depending upon the user's web browser). By clicking the-"countdown" indicator...via the "Request Detail: FX Spot" interface, shown in FIG. 107 and described above.

(c) **Monitoring** and Review of **Transaction** Request

The next step in the execution of the **trade** is the receipt and review of the Member's transaction request by one or more...

...340 in FIG. 2). Using the system, Providers monitor incoming transaction requests using the request **monitor** interfaces described above. In **addition** , if specified as a preference, a Provider can receive automatic notifications (gp e-mail 167...

...step 360 in FIG. 2). Using the system, Members monitor incoming quotes using the request **monitor** interfaces described above. In **addition** , if specified as a preference, a Member can receive automatic notifications (t. e-mail messages...s action of clicking "Accept" button 8770 shown in FIG. 1 1 OB will also **update** the respective request **monitors** of the Member and the Provider. The Member's "Request Monitor: Accepted", shown in FIG...

12/5,K/12 (Item 10 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00784184 **Image available**

A SYSTEM, METHOD FOR FIXED FORMAT STREAM COMMUNICATION IN A COMMUNICATION SERVICES PATTERNS ENVIRONMENT
SYSTEME, PROCEDE ET ARTICLE POUR FLUX DE FORMAT FIXE DANS UN ENVIRONNEMENT A CONFIGURATIONS DE SERVICES DE COMMUNICATION

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

BOWMAN-AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918
, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly LLP, P.O. Box 52037,
Palo Alto, CA 94303-0746, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200117194 A2-A3 20010308 (WO 0117194)

Application: WO 2000US24114 20000831 (PCT/WO US0024114)

Priority Application: US 99386430 19990831

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL

TJ TM TR TT UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: H04L-029/06

International Patent Class: G06F-017/22; H04L-029/12

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 149954

English Abstract

A system, method, and article of manufacture provide a fixed format stream-based communication system. A sending fixed format contract on interface code is defined for a sending system. A receiving fixed format contract on interface code is also defined for a receiving system. A message to be sent from the sending system to the receiving system is translated based on the sending fixed format contract. The message is then sent from the sending system and subsequently received by the receiving system. The message received by the receiving system is then translated based on the receiving fixed format contract.

French Abstract

L'invention concerne un systeme, un procede et un article pour systeme de communication a flux de format fixe. Un contrat de format fixe de transmission sur code d'interface est defini pour un systeme de transmission. Un contrat de format fixe de reception sur code d'interface est egalement defini pour un systeme de reception. Un message destine a etre envoye du systeme de transmission au systeme de reception est converti sur la base du contrat de format fixe de transmission. Le message est ensuite transmis depuis le systeme de transmission, puis il est recu par le systeme de reception et converti sur la base du contrat de format fixe.

Legal Status (Type, Date, Text)

Publication 20010308 A2 Without international search report and to be republished upon receipt of that report.

Examination 20010816 Request for preliminary examination prior to end of 19th month from priority date

Search Report from Ginger D. Roberts

Search Rpt 20020103 Late publication of international search report
Republication 20020103 A3 With international search report.
Fulltext Availability:
Claims

Claim

... should be used.
Does the system require high availability?
Because of their fault tolerance, TP **monitors** make a valuable **addition** to systems that require high availability. The automatic restart/recovery feature helps a system recognize...at the home office. The application that updates the local customer master can place a **copy** of the **update** into a reliable queue. The queue can be forwarded to the home office via a...page. The server does not maintain a session connection with the client nor save any **information** between client exchanges (i.e., web page submits or requests). Each HTTP **exchange** is a completely independent event. Therefore, **information entered** into one HTML form must be saved by the associated server application somewhere where it...

...local state information is maintained on the page. NetDynarnics provides manipulatable state objects for both **server** and page state information.
Codes Table Service 2726
Codes Table Services enable applications to utilize...
...flexibility. If the tax rates changes, the data simply needs to be updated; no application **logic** needs to be **modified**.
Implementation considerations
Is there a need for the codes table functionality?
Most applications need code...

12/5,K/13 (Item 11 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00784138

SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR A REQUEST BATCHER IN A TRANSACTION SERVICES PATTERNS ENVIRONMENT
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR MODULE DE MISE EN LOTS DES REQUETES DANS UN ENVIRONNEMENT CARACTERISE PAR DES SERVICES TRANSACTIONNELS

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

BOWMAN-AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918
, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 1400 Page Mills Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200116733 A2-A3 20010308 (WO 0116733)
Application: WO 2000US23885 20000831 (PCT/WO US0023885)
Priority Application: US 99387575 19990831

Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

Publication Language: English
Filing Language: English
Fulltext Availability:
Detailed Description
Claims
Fulltext Word Count: 150393

English Abstract

A system, method and article of manufacture are provided for batching logical requests for reducing network traffic. A group of business objects necessary for a transaction are provided and managed in a logical unit of work. Logically-related requests received from the logical unit of work are grouped into a single network message which is then stored. The message is sent upon receiving an order to send the message.

French Abstract

La presente invention concerne un systeme, un procede et un article manufacture destine a la mise en lots des requetes de facon a reduire le trafic reseau. A cet effet, on constitue un groupe d'objets d'affaire necessaires a une transaction et on le gere dans une unite logique de travail. Les requetes entre lesquelles existent des liaisons logiques sont regroupees en un unique message de reseau qui est alors mis en memoire. L'envoi du message intervient des la reception d'un ordre d'envoi du message.

Legal Status (Type, Date, Text)

Publication 20010308 A2 Without international search report and to be republished upon receipt of that report.
Examination 20011018 Request for preliminary examination prior to end of 19th month from priority date
Search Rpt 20020221 Late publication of international search report
Republication 20020221 A3 With international search report.

Fulltext Availability:
Detailed Description

Detailed Description

... options for File Transfer Services in a homogeneous environment could include the native operating systems **copy** utility, i.e. Windows NT **Copy** features.

Possible Product Options

Computer Associates CA-XCOM; RemoteWare; Hewlett-Packards HP FTAM; IBMs Files...triggered based on a business or system event. An example here would be a printed **trade** slip.

REPORTING APPLICATION FRAMEWORK

Figure 29 shows the major components of the reporting application framework...

...values -- would have to be programmed in function calls to facilitate the report programming process. **Information** access to **files** or the database would be through Infon-nation Access Services.

Another option is to use...report level security. This security must be in place on all platforms with the client/ **server** architecture. At the workgroup level, the security may consist of downloading sensitive report files to and de facto standards (for example, SQL **Database** Language, COBOL Programming Language, C Programming Language).

4. External User Directory: The report architecture should...new user interface while reusing the core application. existing components.

Maintainable Making it easy to **update** an Making it easy to add a new

application by reducing the area of customer...are generally less cohesive and consequently less flexible. For example, assume that the concepts of **warehouse** and inventory have been combined into one Business Component. This could be problematic if a future application needs **warehouse** information, but not inventory information.

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Smaller Business Component tends to be more flexible. It...business

270

transactions. To do this, the Web must evolve into a full-blown client/**server** medium that can run your line-of-business applications (i.e., a delivery vehicle for...

12/5,K/14 (Item 12 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00784132

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A LEGACY WRAPPER IN A COMMUNICATION SERVICES PATTERNS ENVIRONMENT
SYSTEME, PROCEDE ET DISPOSITIF POUR MODULE D'HABILLAGE EXISTANT DANS UN ENVIRONNEMENT DE SCHEMAS DE SERVICES DE COMMUNICATION

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

BOWMAN-AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 1400 Page Mill Roadast, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200116724 A2-A3 20010308 (WO 0116724)

Application: WO 2000US24084 20000831 (PCT/WO US0024084)

Priority Application: US 99386834 19990831

Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK

DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR

TT UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/44

International Patent Class: G06F-009/46

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 150947

English Abstract

A system, method, and article of manufacture are provided for affording access to a legacy system. A plurality of components coupled to a client via a component integration architecture are provided for servicing the client. A legacy system is interconnected to the client via the integration architecture using a legacy wrapper. The legacy system and the client are interfaced via the legacy wrapper by communicating with the client by way of a first protocol and by communicating with the legacy system by way of a second protocol.

French Abstract

Cette invention concerne un systeme, un procede et un dispositif donnant acces a un systeme existant. Une pluralite de composants relies a un client via une architecture d'integration de composants est mise a la disposition du client. Un systeme existant est interconnecte via l'architecture d'integration au moyen d'un module d'habillage existant. Le systeme existant et le client sont mis en interface via le module d'habillage existant, la communication avec le client se faisant au moyen d'un premier protocole, celle avec le systeme existant au moyen d'un second protocole.

Legal Status (Type, Date, Text)

Publication 20010308 A2 Without international search report and to be republished upon receipt of that report.
Examination 20011011 Request for preliminary examination prior to end of 19th month from priority date
Search Rpt 20020620 Late publication of international search report
Republication 20020620 A3 With international search report.

Fulltext Availability:
Detailed Description

Detailed Description

... a level at which they can be distributed efficiently to all users when business logic **changes** occur. If the application is large, perhaps it is better to package the application by...new application by reducing the area of customer attribute by isolating the impact for most **changes**. change to one component-the Customer component.

Reusable Making it possible to quickly Making it...are generally less cohesive and consequently less flexible. For example, assume that the concepts of **warehouse** and inventory have been combined into one Business Component. This could be problematic if a future application needs **warehouse** information, but not inventory information.

269

Smaller Business Component tends to be more flexible...

12/5,K/15 (Item 13 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00784119

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A REFRESHABLE PROXY POOL IN A COMMUNICATION ENVIRONMENT
SYSTEME, PROCEDE ET ARTICLE POUR GROUPE D'ELEMENTS MANDATAIRES (PROXY) RAFFRAICHISSABLES DANS UN ENVIRONNEMENT A CONFIGURATIONS DE SERVICES DE COMMUNICATION

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

BOWMAN-AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918
, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly LLP, 1400 Page Mill Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200116668 A2-A3 20010308 (WO 0116668)
Application: WO 2000US24113 20000831 (PCT/WO US0024113)
Priority Application: US 99386239 19990831

Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE
DK DM DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL
TJ TM TR TT TZ UA UG UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 149976

English Abstract

A system, method, and article of manufacture are provided for interfacing a naming service and a client with the naming service allowing access to a plurality of different sets of services from a plurality of globally addressable interfaces. The naming service calls for receiving locations of the global addressable interfaces. As a result of the calls, proxies are generated based on the received locations of the global addressable interfaces. The proxies are received in an allocation queue where the proxies are then allocated in a proxy pool. Access to the proxies in the proxy pool is allowed for identifying the location of one of the global addressable interfaces in response to a request received from the client.

French Abstract

L'invention concerne un systeme, un procede et un article permettant d'assurer l'interface entre un service de denomination et un client, le service de denomination donnant acces a plusieurs series de services a partir de plusieurs interfaces globalement adressables. Le service de denomination etablit des appels pour recevoir les emplacements des interfaces globalement adressables. Suite aux appels en question, les elements proxy sont etablis sur la base des emplacements recus pour les interfaces globalement adressables. Ces elements sont recus dans une file d'attente d'affectation puis attribues a un groupe d'elements proxy depuis la file d'attente. L'accès aux elements de ce groupe est autorise pour identifier l'emplacement de l'une des interfaces globalement adressables, en reponse a une demande recue de la part d'un client.

Legal Status (Type, Date, Text)

Publication 20010308 A2 Without international search report and to be republished upon receipt of that report.

Examination 20010809 Request for preliminary examination prior to end of 19th month from priority date

Search Rpt 20020221 Late publication of international search report

Republication 20020221 A3 With international search report.

Fulltext Availability:

Claims

Claim

... be used.

r

Does the system require high availability?

Because of their fault tolerance, TP **monitors** make a valuable **addition** to systems that require high availability. The automatic restart/recovery feature helps a system recognize...at the home office. The application that updates the local customer master can place a **copy** of the **update** into a reliable queue. The queue can be forwarded to the home office via a...page. The server does not maintain a session

connection with the client nor save any **information** between client exchanges (i.e., web page submits or requests). Each HTTP **exchange** is a completely independent event. Therefore, **information** entered into one HTML form must be saved by the associated server application somewhere where it...

...flexibility. If the tax rates changes, the data simply needs to be updated; no application **logic** needs to be **modified**.

Implementation considerations

Is there a need for the codes table functionality?

Most applications need code...

12/5,K/16 (Item 14 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00777020

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR RESOURCE ADMINISTRATION IN AN E-COMMERCE TECHNICAL ARCHITECTURE

SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR L'ADMINISTRATION DE RESSOURCES DANS UNE ARCHITECTURE TECHNIQUE DE COMMERCE ELECTRONIQUE

Patent Applicant/Assignee:

ACCENTURE LLP, Parkstraat 83, NL-2514 JG 'S Gravenhage, NL, NL

(Residence), NL (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

UNDERWOOD Roy A, 4436 Hearthmoor Court, Long Grove, IL 60047, US, US

(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, P.O. Box

52037, Palo Alto, CA 94303-0746, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200109791 A2-A3 20010208 (WO 0109791)

Application: WO 2000US20547 20000728 (PCT/WO US0020547)

Priority Application: US 99364161 19990730

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG

SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

International Patent Class: G06F-009/44; G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 136396

English Abstract

A system, method and article of manufacture provide a resources e-commerce technical architecture. One embodiment of the present invention includes first performing network performance modeling on a network. Context objects are shared among a plurality of components executed on a transaction server on the network. Application consistency is maintained by referencing text phrases through a short codes framework. Further, software modules are managed during development of the architecture.

French Abstract

Cette invention se rapporte a un systeme, a un procede et a un article

manufacture qui forment une architecture technique de commerce électronique pour l'administration de ressources. Dans un mode de réalisation de cette invention, on soumet d'abord un réseau à une opération de modélisation des performances réseau. Les objets contextes sont partagés entre plusieurs éléments exécutés sur un serveur de transactions du réseau. On maintient la cohérence des applications en référençant des phrases textes via une structure de codes courts. Des modules de logiciels sont en outre gérés pendant l'élaboration de cette architecture.

Legal Status (Type, Date, Text)

Publication 20010208 A2 Without international search report and to be republished upon receipt of that report.
Examination 20010719 Request for preliminary examination prior to end of 19th month from priority date
Search Rpt 20010830 Late publication of international search report
Republication 20010830 A3 With international search report.
Fulltext Availability:
Detailed Description

Detailed Description

... Page access authorization - Activity scope
Validation
Pre-conditions
Post-conditions
Sub-Activity - Smallest grained business logic
Execute business logic
View - mapping between a user interface and a business object
Capture user entry
Display value...latest embodiments are used and that individual installation processes are reviewed to ensure that any changes are followed.

Individuals performing this installation have experience in Microsoft platform-iis and tools, and...

12/5,K/17 (Item 15 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00777017

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A HOST FRAMEWORK DESIGN IN AN E-COMMERCE ARCHITECTURE
SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION DESTINES A LA CONCEPTION D'UNE STRUCTURE D'ORDINATEUR CENTRAL DANS UNE ARCHITECTURE DE COMMERCE ELECTRONIQUE

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

UNDERWOOD Roy A, 4436 Hearthmoor Court, Long Grove, IL 60047, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 38th Floor, 2029 Century Park East, Los Angeles, CA 90067-3024, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200109752 A2-A3 20010208 (WO 0109752)
Application: WO 2000US20560 20000728 (PCT/WO US0020560)
Priority Application: US 99364733 19990730

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US

UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

International Patent Class: G06F-009/44; G06F-017/30; G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 122613

English Abstract

A system, method and article of manufacture are provided for accessing services within a server without a need for knowledge of an application program interface of the server. A role container is first created. Next, a role class is defined and an attribute for the role class is generated which includes a default start page attribute. In the role container, a role object is made in the role class with the default start page attribute associated therewith. A uniform resource locator is selected for the default start page attribute.

French Abstract

L'invention concerne un systeme, un procede et un article de production permettant d'accéder a des services a l'interieur d'un serveur sans avoir necessairement la connaissance d'une interface de programme d'application du serveur. Un contenant de role est tout d'abord cree. Ensuite, une classe de role est definie et un attribut pour la classe de role est produit lequel contient un attribut de page d'ouverture implicite. Dans le contenant de role, un objet de role est produit dans la classe de role avec l'attribut de page d'ouverture implicite lui etant associe. Un localisateur de ressource uniforme est selectionne pour l'attribut de la page d'ouverture implicite.

Legal Status (Type, Date, Text)

Publication 20010208 A2 Without international search report and to be republished upon receipt of that report.

Examination 20010531 Request for preliminary examination prior to end of 19th month from priority date

Search Rpt 20020124 Late publication of international search report

Republication 20020124 A3 With international search report.

Fulltext Availability:

Detailed Description

Detailed Description

... SQL Server v7.0

8 GB Hard Drive And/or

CD-ROM Drive Oracle8 Enterprise Edition f6r NT v8 4

15" Monitor

Assembly, Product and Performance Testing Environment Specifications

The following table provides basic requirements for the...GB RAM

Microsoft Transaction Server v2.0

8 GB Hard Drive Microsoft Site Server Commerce Edition

CD-ROM v3.0 (optional)

15" Monitor Oracle 8 4 Client

Operational Utilities (optional)

Production Environment Specifications

The following table provides basic...

...GB RAM Microsoft Transaction Server v2.0

8 GB Hard Drive Microsoft Site Server Commerce Edition

CD-ROM v3.0 (optional)
15" **Monitor** Oracle 8 4 Client
Operational Utilities (optional)
Firewall 1+ 300 MHz (Pentium Microsoft Windows NT...envirom-nent
variables.

Click YES on Visual SourceSafe Database Format dialog.

1 8 Apply Software Updates

In the event that **updates** are available for either Visual J++ or
Visual C++, apply them now.

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Install Service...

12/5,K/18 (Item 16 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00777011 **Image available**

**A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A CODES TABLE FRAMEWORK
DESIGN IN AN E-COMMERCE ARCHITECTURE**

**SYSTEME, PROCEDE ET ARTICLE FABRIQUE POUR LA CONCEPTION D'UNE STRUCTURE DE
TABLES DE CODES DANS UNE ARCHITECTURE DE COMMERCE ELECTRONIQUE**

Patent Applicant/Assignee:

AC PROPERTIES BV, Parkstraat 83, NL-2514 JG 'S Gravenhage, The Hague, NL,
NL (Residence), NL (Nationality), (For all designated states except:
US)

Patent Applicant/Inventor:

UNDERWOOD Roy A, 4436 Hearthmoor Court, Long Grove, IL 60047, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box 52037,
Palo Alto, CA 94303, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200109716 A2-A3 20010208 (WO 0109716)

Application: WO 2000US20705 20000728 (PCT/WO US0020705)

Priority Application: US 99364491 19990730

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES

FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD

MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US

UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

International Patent Class: G06F-009/44

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 136146

English Abstract

A system, method and article of manufacture are provided for maintaining
application consistency by referencing text phrases through a short codes
framework. First, a table of codes each having a text phrase associated
therewith is provided. Such table of codes is stored on a local storage
medium. Next, the table of codes is accessed on the local storage medium.
One of the text phrases is subsequently retrieved by selecting a

corresponding one of the codes of the table. During operation, modification of the text phrases associated with each of the codes of the table is permitted.

French Abstract

L'invention concerne un systeme, un procede et un article fabrique destine a maintenir la coherence d'applications par reference a des phrases textuelles a l'aide d'une structure de codes courts. Tout d'abord, une table de codes a chacun desquels est associee une phrase textuelle est fournie. Cette table de codes est stockee sur un support de stockage local. Ensuite, l'acces a la table de codes est execute sur le support de stockage local. Une des phrases textuelles est ensuite extraite par selection d'un des codes correspondants de la table. Pendant le fonctionnement, la modification des phrases textuelles associees a chacun des codes de la table est permise.

Legal Status (Type, Date, Text)

Publication 20010208 A2 Without international search report and to be republished upon receipt of that report.

Search Rpt 20010927 Late publication of international search report

Republication 20010927 A3 With international search report.

Fulltext Availability:

Detailed Description

Detailed Description

... any information between client exchanges (i.e., web page submits or requests). Each HTTP exchange is a completely independent event. Therefore, information entered into one HTML form must be saved by the associated server application somewhere where it...Logic
The execution architecture services are all generalized services designed to support the applications Business Logic. Normally, how Business Logic is to be organized is not within the scope of the execution architecture. However, the...your oracle Tools project within VSS) to your C:

Program Files

Mts folder. client connection Copy over the existing exe (version 7.3 compatible). is transactional.

Run the TestOraclexaConfig.exe from...

...latest embodiments are used and that individual installation processes are reviewed to ensure that any changes are followed.
Individuals performing this installation have experience in Microsoft platforms and tools, and are...makes it easier to understand and maintain code written by other programmers.

File Names

HTML files adhere to the same naming and directory standards set forth by the Internet Center of...

...some HTML editors, such as Microsoft FrontPage, generate lower-case HTML tags.

Since more HTML editing may probably be done through similar tools, it does not make sense to have to...

12/5,K/19 (Item 17 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00752109 **Image available**

ELECTRONIC SECURITIES TRADING SYSTEM

SYSTEME DE COMMERCE ELECTRONIQUE DE TITRES

Patent Applicant/Assignee:

TRADING TECHNOLOGIES INC, 9508 Jollyville Road, Suite 202, Austin, TX
78759, US, US (Residence), US (Nationality), (For all designated states
except: US)

Patent Applicant/Inventor:

DISRAELI David, 9540 Ketona Cove, Austin, TX 78759, US, US (Residence),
US (Nationality), (Designated only for: US)

Legal Representative:

TAYLOR RUSSELL Gail, Taylor Russell & Russell, P.C., Building One, Suite
1200, 4807 Spicewood Springs Road, Austin, TX 78759, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200065510 A1 20001102 (WO 0065510)

Application: WO 2000US10931 20000421 (PCT/WO US0010931)

Priority Application: US 99296361 19990422

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 12678

English Abstract

A computer-implemented system (100) and method having a graphical user interface with multiple windows for electronic securities trading (101) that consolidates information the investor needs for trading. It automatically and continuously retrieves and displays updated information that the investor needs for executing trades in a way that is transparent to the user. The system provides real-time portfolio management and accounting. The software program contains multiple windows for simultaneously allowing display of investor positions, balances, trade status, news (106) and research. Investors can perform investment research, enter orders, obtain real-time quotes (110, 111), view current position, pending orders, cash and margin balances, market data, dynamic charts and online news and reports using multiple windows on one computer screen. The program facilitates automatic management of trading orders and monitoring positions (securities inventory) without the presence of the user.

French Abstract

L'invention concerne un systeme (100) et procede informatiques dotes d'une interface graphique d'utilisateur a plusieurs fenetres, destines au commerce electronique de titres (101) qui integrent des informations dont l'investisseur a besoin pour faire des affaires. Ledit systeme extrait et affiche automatiquement et continuellement des informations mises a jour dont l'investisseur a besoin pour faire des affaires d'une facon transparente vis-a-vis de l'utilisateur. Ce systeme realise la gestion et la reddition de comptes d'un portefeuille en temps reel. Le programme informatique comprend de nombreuses fenetres permettant simultanement l'affichage des positions, des soldes, du statut commercial, des nouvelles (106) et des recherches concernant l'investisseur. Les investisseurs peuvent realiser des recherches ayant trait a l'investissement, entrer des commandes, obtenir des cours en temps reel (110, 111), visualiser la position actuelle, des ordres en attente, des

soldes des marges et des disponibilites, des donnees du marche, des graphiques dynamiques, des nouvelles en ligne et des rapports au moyen de nombreuses fenetres sur un ecran d'ordinateur. Le programme facilite la gestion automatique des ordres commerciaux et des positions de controle (inventaire des titres) en l'absence de l'utilisateur.

Legal Status (Type, Date, Text)

Publication 20001102 A1 With international search report.

Fulltext Availability:

Claims

Claim

... 8125, 11.875 11.9375 0.5625 11,812.50

Balances - Total

Trade View Configure Report Update Positio

Assets Liabilities

Long Market Value-Broker 1 \$1129,323.25 Short Market Value-Broker...

...Broker 2 \$

Net Equity \$ Available Funds \$ 4Y

FIG@20

Balances - Broker 1

Tra View Configure Report Update Positions

Assets Liabilities

Long Market Value \$ 3239000.00 Short Market Value \$

Money Market Funds - Debit...364-@@ 366.."O/ 365

FIG@23

376--@@ 380

DISPLAY ORDER EX[

STATUS & LOG ALL FR

TRANSACTIONS

375---%@

ORDER ENTRY SP

TRACKING TRADE AN

377-@/

GENERATE & DISPLAY EXECUTE

HISTORICAL DATA, MULTIPLE LI(

GAINS, TAX INFORMATION ORDERS

AND REPORTS...

12/5,K/20 (Item 18 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00739972

TRADE FINANCING METHOD, INSTRUMENTS AND SYSTEMS

PROCEDE DE FINANCEMENT DE TRANSACTIONS COMMERCIALES, INSTRUMENTS ET SYSTEMES

Patent Applicant/Assignee:

TRADE FINANCE SERVICE CORPORATION, Suite 505, 90 John Street, New York, NY 10038, US, US (Residence), US (Nationality)

Inventor(s):

STROH Leslie, 1 Meyers Mill Road, New Lisbon, NY 13415, US

Legal Representative:

HANDAL Anthony H, Handal & Morofsky, 80 Washington Street, Norwalk, CT 06854, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200052555 A2 20000908 (WO 0052555)

Application: WO 2000US5644 20000303 (PCT/WO US0005644)

Priority Application: US 99264171 19990305

Designated States: AU CA CN JP MX

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
Main International Patent Class: G06F
Publication Language: English
Filing Language: English
Fulltext Availability:
Detailed Description
Claims
Fulltext Word Count: 32901

English Abstract

A simplified trade finance method particularly for international trade in goods or services, a "traded product", can employ one, and preferably two, novel, modified bills of exchange. A first bill of exchange (1 o E), which is a payment draft, is executed by a buyer (B), and returned to seller (S) prior to release of the traded product by seller (S). Seller (S) can obtain credit verification of the first bill of exchange (1 o E), if necessary, before releasing the traded product, protecting seller (S) from failure of buyer (B) to pay. The first bill of exchange (1 o E) can be dormant and non-negotiable until activated by an event agreeable to a buyer (B), for example, release of the traded product. Buyer (B) is thus protected against seller (S) delaying or failing to ship the traded product after having received a payment instrument from buyer (B). A pro-forma invoice (PFI) can be used to define the transaction details, and set forth agreement terms including the possibility of removing merchandise claims or disputes from the payment cycle for resolution in accordance with international convention or treaty e.g. the Vienna Convention on the International Sale of Goods. A second bill of exchange, mutually extinguishable with the first bill of exchange (1 o E) can be employed to facilitate provision of credit by the buyer's bank (BB), avoiding need to utilize seller's (S) credit resources.

French Abstract

La presente invention concerne un procede simplifie de financement de transactions commerciales notamment en commerce international de biens et services, un 'produit de commerce' peut utiliser une, et de preference deux, nouveaux types de lettres de change. Une premiere lettre de change (1 o E), qui est un effet de paiement, est executee par un acheteur (B), et renvoyee au vendeur (S) prealablement a la liberation du produit de commerce par le vendeur (S). Le vendeur (S) peut obtenir une verification de credit de la premiere lettre de change (1 o E), le cas echeant, avant d'expedier le produit de commerce, le vendeur (S) etant ainsi protege contre tout default de paiement de l'acheteur (B). La premiere lettre de change (1 o E) peut demeurer inactive et non negociable jusqu'a qu'elle soit rendue active par un evenement, agree par l'acheteur (B), par exemple, la liberation du produit de commerce. Ainsi l'acheteur (B) est protege contre un retard de la part du vendeur (S) ou un default d'expedition du produit de commerce apres reception d'un instrument de paiement de la part de l'acheteur (B). Une facture type (PFI) peut etre utilisee pour definir les details de la transaction, et etablir les termes du contrat comprenant la possibilite d'eliminer les reclamations de marchandises ou des disputes du cycle de paiement en vue d'une solution selon une convention ou traite international, par exemple la Convention de Vienne sur la Vente Internationale de Biens. Une deuxieme lettre de change, d'extinction mutuelle avec la premiere lettre de change (1 o E) peut etre utilisee pour faciliter des provisions de credits par la banque de l'acheteur (BB), evitant ainsi de recourir aux ressources de credits du vendeur (S).

Legal Status (Type, Date, Text)

Publication 20000908 A2 Without international search report and to be republished upon receipt of that report.
Examination 20010315 Request for preliminary examination prior to end of 19th month from priority date

Fulltext Availability:
Claims

Claim

... Thus., operating through one or more web sites, a third party administrator call expedite individual **trade transactions**, permit any authorized party anywhere with Internet access to **track** progress of one or more import-export **trade transactions**, serve as a focus to catalyze tile making of new trades by bringing together importers...

...of executed and endorsed documents are of particular value in enabling consummationoftradefinancingtransactionsviathelnternet.
Severaloptioiisareavailableforiiterilet transmission of **editable** or signable **documents**. In accordance with the invention a method for moving goods and/or services from a...

12/5,K/21 (Item 19 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00737987 **Image available**

GLOBALLY TIME-SYNCHRONIZED SYSTEMS, DEVICES AND METHODS
SYSTEMES GLOBALEMENT SYNCHRONISES DANS LE TEMPS

Patent Applicant/Assignee:

REVEO INC, 85 Executive Boulevard, Elmsford, NY 10523, US, US (Residence)
, US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

FARIS Sadeg M, 24 Pocantico River Road, Pleasantville, NY 10570, US, US
(Residence), US (Nationality), (Designated only for: US)

HAMLIN Gregory J, 33 Church Street, Presque Isle, ME 04769, US, US
(Residence), US (Nationality), (Designated only for: US)

FLANNERY James P, 30 Williams Street, New City, NY 10965, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

PERKOWSKI Thomas J (agent), Soundview Plaza, 1266 East Main Street,
Stamford, CT 06902, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200050974 A2-A3 20000831 (WO 0050974)

Application: WO 2000US5093 20000228 (PCT/WO US0005093)

Priority Application: US 99258573 19990226; US 2000513601 20000225

Parent Application/Grant:

Related by Continuation to: US Not furnished (CIP)

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 80968

English Abstract

A system and method of fairly and securely enabling time-constrained competitions over the Internet (190) among millions of competitors

compensates for the variable network latencies experienced by client machines (160) used by the competitors. The system employs globally time synchronized Internet information servers and client machines in order to synchronize the initial display of each invitation to respond (e.g. stock price to buy or sell, query to answer, or problem to solve) on a client machine so each competitor can respond to the invitation at substantially the same time, regardless of location, or the type of Internet connection used by the client. By using globally time synchronized client machines (160), each competitor's response is securely time and space stamped at the client machine to ensure that competitor responses are resolved within microsecond accuracy.

French Abstract

La presente invention concerne un systeme et un procede ameliores permettant d'organiser de facon equitable et sure des concours restreints dans le temps entre des millions de participants via Internet, tout en compensant les temps d'attente variables des communications reseau subis par les machines clientes utilisees par les participants. Ce systeme utilise des serveurs d'informations Internet et des machines clientes globalement synchronises dans le temps en vue de synchroniser l'affichage initial de chaque invitation a repondre (par exemple, des titres a acheter ou a vendre, une requete de reponse, ou un probleme a resoudre) sur une machine cliente, de sorte que chaque participant puisse repondre a l'invitation presque au meme moment, quel que soit l'endroit ou il se trouve, ou le type de connexion Internet utilisee par sa machine cliente. De meme, en utilisant des machines clientes globalement synchronisees dans le temps, la reponse de chaque participant est estampillee de facon sure avec l'heure et le lieu par la machine cliente, afin de garantir que les reponses des participants soient traitees avec une precision de l'ordre de la microseconde.

Legal Status (Type, Date, Text)

Publication	20000831	A2 Without international search report and to be republished upon receipt of that report.
Search Rpt	20001207	Late publication of international search report
Search Rpt	20001207	Late publication of international search report
Examination	20010705	Request for preliminary examination prior to end of 19th month from priority date
Correction	20020829	Corrected version of Pamphlet: pages 1-151, description, replaced by new pages 1-130; pages 152-237, claims, replaced by new pages 131-207; pages 1/101-101/101, drawings, replaced by new pages 1/101-101/101; due to late transmittal by the receiving Office
Republication	20020829	A3 With international search report.

Fulltext Availability:
Claims

Claim

... procedure involves a number of "behind-the-scenes" activities by the various server systems, in **addition** to the actual **log on** process. Initially, all servers and clients in the system are provided with the address...9.
As indicated at Block A in FIG. 9CI, sellers of items to be auctioned **enter auction information** (e.g. description of the **auction item**, minimum start bid, etc.) into the auction database 140.
As indicated at Block Bin...cycle, it is probably not desirable to make such a large modification to the display **update** period, since this can cause **monitor** clicking and may temporarily disrupt the displayed image. Instead, the display update period is modified...

12/5,K/22 (Item 20 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00423317 **Image available**

ON-LINE TRANSACTION PROCESSING SYSTEM FOR SECURITY TRADING
SYSTEME DE TRAITEMENT DES TRANSACTIONS EN LIGNE POUR LE COMMERCE DES
VALEURS BOURSIERES

Patent Applicant/Assignee:

THE NASDAQ STOCK MARKET INC,

Inventor(s):

MARTYN Peter,
DENAT Mark,
PANG Mei,
FLYNN Edward,
WALDO Michael,
SWEET Pamela A,
COORDS Deane,
HALL Diane Geberth,
SLOMOWITZ Ira,
FRANKE Maureen,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9813778 A1 19980402

Application: WO 97US17131 19970925 (PCT/WO US9717131)

Priority Application: US 96722847 19960926

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
FI GB GE GH HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK
MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU
ZW GH KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES
FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD
TG

Main International Patent Class: G06F-017/60

Publication Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 9156

English Abstract

A data processing system provides an interface with a securities exchange system over which securities are traded. The system allows a user to configure displays (3000) tailored for specific functions and to show displays (3050) for a particular security. The user may also view a display showing information about selected securities (3034), monitor trade activity, participate in a trade and report trades. In addition, a user may display information for a selected set of securities on a continuously updated basis (3035) and can easily select from a displayed list, a desired security and certain information and functions associated with the selected security.

French Abstract

Systeme de traitement informatique comporte une interface a systeme d'echange pour le commerce des valeurs. Ce systeme permet la configuration de visuels (3000) adaptes a des fonctions specifiques et la presentation d'affichages (3050) propres une valeur particuliere. L'utilisateur peut aussi consulter un ecran qui le renseigne sur diverses valeurs selectionnees (3034), suivre l'activite liee aux transactions, participer a une transaction et consigner des transactions operations. L'utilisateur peut encore afficher des informations correspondant a une serie determinee de valeurs, sur une base de mise a jour permanente (3035), et il peut facilement choisir dans une liste affichee, une valeur voulue ainsi que differentes informations et fonctions associees a la valeur choisie.

English Abstract

...particular security. The user may also view a display showing information about selected securities (3034), **monitor trade** activity, participate in a **trade** and **report trades**. In addition, a user may display information for a selected set of securities on a continuously updated...

12/5,K/23 (Item 21 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00391508 **Image available**

AN AUTOMATED COMMUNICATIONS SYSTEM AND METHOD FOR TRANSFERRING INFORMATION
BETWEEN DATABASES IN ORDER TO CONTROL AND PROCESS COMMUNICATIONS
SYSTEME ET PROCEDE DE COMMUNICATIONS AUTOMATISES POUR LE TRANSFERT
D'INFORMATIONS ENTRE DES BASES DE DONNEES A DES FINS DE COMMANDE ET DE
TRAITEMENT DES COMMUNICATIONS

Patent Applicant/Assignee:

INTERMIND CORPORATION,

Inventor(s):

REED Drummond Shattuck,
HEYMANN Peter Earnshaw,
MUSHERO Steven Mark,
JONES Kevin Benard,
OBERLANDER Jeffrey Todd,
BANAY Dan,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9732251 A1 19970904

Application: WO 97US3205 19970228 (PCT/WO US9703205)

Priority Application: US 96609115 19960229; US 96722314 19960927

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
FI GB GE HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW
MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG UZ VN GH KE LS MW
SD SZ UG AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT
LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG

Main International Patent Class: G06F-011/00

International Patent Class: G06F-11:16; G06F-13:00; G06F-15:00; G06F-15:16;
G06F-15:30; G06F-17:30; H04M-15:00

Publication Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 92326

English Abstract

An automated communications system operates to transfer data, metadata, and methods from a provider computer (1) to a consumer computer (2) through a communications network (3). The transferred information controls the communications relationship, including responses by the consumer computer (2), updating of information, and process for future communications. Information which changes in the provider computer (1) is automatically updated in the consumer computer (2) through the communications system (3) in order to maintain continuity of the relationship. Transfer of metadata and methods permits intelligent processing of information by the consumer computer (2) and combined control by the provider and consumer of the types and content of information subsequently transferred.

French Abstract

Cette invention se rapporte a un systeme de communications automatise qui sert au transfert de donnees, de metadonnees et de procedes a partir